



DHOOT INDUSTRIAL FINANCE LIMITED

CIN: L51900MH1978PLC020725
Tel.: 22845050, 22835152 Fax: 22871155
www.dhootfinance.com

To

10th June, 2021

BSE Limited,

Corporate Relationship Department

Phiroze Jeejeebhoy Towers,

Dalal Street, Fort

Mumbai – 400 001

Scrp Code – 526971

Scrp ID – DHOOTIN

Kind Attn: **Corporate Relationship Dept.**

Sub.: Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2021

Ref : SEBI Circular CIR/CFD/CMD1/27/2019 dated February 8, 2019

Dear Sir/Madam,

In compliance of Regulation 24A of SEBI (LODR) Regulations, 2015, Company has appointed M/s Shah Patel & Associates, Practicing Company Secretaries, as Secretarial Auditor of the Company for financial year ended on 31 March, 2021. They have carried out secretarial audit and submitted Annual Secretarial Compliance Report.

We are enclosing the Copy of the same. Please take the same on record and acknowledge.

For **DHOOT INDUSTRIAL FINANCE LIMITED**



Tejendrasingh Jadeja

Company Secretary

**Registered Office Address: 504, Raheja Centre, 214, Nariman Point, Mumbai – 400 021.
Corporate Office Address: 1209, Raheja Centre, 214, Nariman Point, Mumbai – 400 021.**

SHAH PATEL & ASSOCIATES

PRACTICING COMPANY SECRETARIES

198/A, Jaya Niwas, Gujarat Society,

Sion West, Mumbai 400022

cs@spassociates.co

ANNUAL SECRETARIAL COMPLIANCE REPORT DHOOT INDUSTRIAL FINANCE LIMITED FOR THE YEAR ENDED 31ST MARCH, 2021

I, Isha Deepak Shah, Partner of M/s. Shah Patel & Associates, Practising Company Secretaries, have examined:

- a) all the documents and records made available to us and explanation provided by Dhoot Industrial Finance Limited (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018: **Not Applicable during the period under review**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014:

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Not Applicable during the period under review

- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable during the period under review**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013: **Not Applicable during the period under review**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued thereunder;

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder.
- d) The listed entity has taken the following actions to comply with the observations made in previous reports: **Not applicable**

FOR SHAH PATEL & ASSOCIATES

ISHA DEEPAK SHAH

PARTNER

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UDIN: A035253C000440493

PLACE: MUMBAI

DATE: 10/06/2021